

GRIEVANCE / COMPLAINT REGISTRATION FORM

| Complaint Against | lember Client Exchange |
|----------------------------|-------------------------------|
| Name: | |
| Address: | |
| | PIN: |
| City: | State: |
| Mobile no.: | Phone No |
| PAN No.: | Client (UCC) ID: |
| Email ID: | |
| B. NERL Account Info | rmation |
| CP Name.: | |
| CPID: | Client ID: |
| | |
| C. Bank Account Deta | il |
| Bank Name.: | Branch Address: |
| Bank A/c No: | IFSC Code: |
| D. *Opposite Party De | tail |
| Name: | |
| Address: | |
| | PIN: |
| City: | State: |
| Mobile no.: | Phone no (if any).: |
| Contact Person Name: | Member PAN No (if any).: |
| *Whether disputed trade is | IBT (Internet Based Trading)? |



* Nature of Complaint: [please tick (\Box) in relevant box]

| non-receipt/ delay of Account statement; | |
|---|--|
| Non-receipt/ delay of bills; | |
| Closure of account/ branch; | |
| Technological issues; | |
| Shifting/closure of branch without intimation; | |
| Improper service by staff; | |
| Freezing of account; | |
| Alleged debit in trading account; | |
| Contact person not available in member's office | |
| Service Related | |
| Other | |

*Statement of Claim (along with calculation of claim): Rs. _____

*List of document enclosed with the complaint (please tick relevant box)

| (i) Contract Notes | |
|--|--|
| (ii) Bills / Invoices | |
| (iii) Statement of Account | |
| (iv) Copy of Ledger (NCDEX only) | |
| (v) KYC document, if available with the complainant | |
| (vi) All forms of correspondence with the trading member prior to filing the complaint (for correspondence received/sent from/to the trading member post complaint filing, please share them as and when they are received/sent) | |
| (vii) Any other documents in support of your claim (pl. write in below) | |

*Details of grievance/ complaint

- Date on which grievance/complaint has arisen: ______
- Copy of Letter/email correspondence between disputing parties: ______



* Detailed Description of the complaint:

Additional Information (If any)

I, the complainant, do hereby acknowledge that all the information provided in this complaint form are true to my knowledge, belief and understanding and no part of it, intentionally or otherwise, has been concealed and/or misrepresented thereof.

Place: _____

Date: _____

(Complainant Signature)

** Note: Kindly furnish copies of documents relied on for the present complaint.